

Detecting regional patterns of changing CO₂ flux in Alaska

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With rapid changes in climate and the seasonal amplitude of carbon dioxide (CO₂) in the Arctic, it is critical that we detect and quantify the underlying processes controlling the changing amplitude of CO₂ to better predict carbon cycle feedbacks in the Arctic climate system. We use satellite and airborne observations of atmospheric CO₂ with climatically forced CO₂ flux simulations to assess the detectability of Alaskan carbon cycle signals as future warming evolves. We find that current satellite remote sensing technologies can detect changing uptake accurately during the growing season but lack sufficient cold season coverage and near-surface sensitivity to constrain annual carbon balance changes at regional scale. Airborne strategies that target regular vertical profile measurements within continental interiors are more sensitive to regional flux deeper into the cold season but currently lack sufficient spatial coverage throughout the entire cold season. Thus, the current CO₂ observing network is unlikely to detect potentially large CO₂ sources associated with deep permafrost thaw and cold season respiration expected over the next 50 y. Although continuity of current observations is vital, strategies and technologies focused on cold season measurements (active remote sensing, aircraft, and tall towers) and systematic sampling of vertical profiles across continental interiors over the full annual cycle are required to detect the onset of carbon release from thawing permafrost.

carbon cycle | permafrost thaw | climate | Earth system models | remote sensing

The future trajectory of carbon balance in the Arctic-Boreal Zone (ABZ) is of global importance because of the vast quantities of carbon sequestered in permafrost soils (1). Climate warming threatens to increase permafrost thaw and release soil carbon back to the atmosphere as a positive feedback promoting additional warming (2). It is unclear whether the observed intensification of the northern high-latitude carbon cycle is dominated by plant productivity or microbial decomposition, both of which seem to be increasing (3–6). Although warming temperatures and C/N fertilization promote greening and higher summer productivity during the short, intense growing season, these same factors also drive increased emissions during the long cold season (3–5).

Detecting changes in ABZ carbon balance requires sustained observations over the full annual cycle. In the last decade, researchers have recognized the importance of year-round land-atmosphere CO₂ flux observations (3–5). Synthesis studies of these data show that increasing growing season uptake has been offset by stronger winter respiration. Measurements of atmospheric CO₂ collected from in situ and remote sensing instruments provide spatially and temporally integrated constraints of net CO₂ exchange on regional to pan-Arctic scales. In situ observations have been limited primarily to a small network of surface towers and infrequent, short duration airborne campaigns designed primarily to detect the pan-Arctic background CO₂ signal but have provided key evidence of ongoing large-scale changes in the structure and metabolism of the ABZ (6–9).

Airborne observations show a trend of increasing CO₂ seasonal cycle amplitude (difference between maximum spring CO₂ and minimum summer CO₂) (7), with additional analysis suggesting that enhancements in growing season photosynthetic intensity and summer uptake in boreal regions are the most likely source of amplification (8). Ground-based measurements of high-latitude background air show a trend toward earlier CO₂ buildup in fall, suggesting a shorter carbon uptake period (9). The ABZ, thus, seems to be transitioning toward both increased uptake early in the growing season and increased respiration in the cold season.

Despite these advances, several factors have limited the ability of current atmospheric CO₂ observing strategies to fully constrain ABZ carbon balance changes. (i) Background variability: long-range CO₂ transport from lower latitudes drives much of the high-latitude seasonal cycle (10, 11) and obscures local signals. (ii) Interannual variability: short-term CO₂ variability driven by year to year changes in ABZ carbon balance and long-range transport obscures slower, long-term changes driven by climate warming. (iii) Limited near-surface coverage: the CO₂ content of air above 3 km [~700 millibars (mb)] and poleward of 60° N is influenced primarily by processes in northern midlatitudes (30° N to 60° N), including a strong terrestrial influence in summer (12). Observations at altitudes above 4 km (e.g., 500 mb) are only weakly sensitive to the ABZ. (iv) Limited spatial coverage: the ABZ is characterized by strong spatial heterogeneity in plant functional type, permafrost

Significance

Dramatic warming in northern high latitudes has led to increased photosynthetic carbon uptake during the short, intense growing season; however, microbial decomposition of soil carbon and increased emissions during the long cold season may offset summer uptake and impart a positive feedback on the global climate system. We show that current airborne and satellite measurements of atmospheric CO₂ can accurately quantify summer uptake but are insufficient to detect regional changes in cold season emissions. As the potential for Arctic carbon budgets to become impacted by permafrost thaw and cold season emissions increases, strategies focused on year-round vertical profiles and improved spatial sampling will be needed to track carbon balance changes.

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extent, and climate (13). Spatial sampling with significantly greater density than available from current ground-based and aircraft observing systems is needed. (v) Limited seasonal coverage: climate warming has intensified seasonal carbon exchange in the ABZ, with increasing summer uptake offset by increasing winter emissions (3–6). Observations restricted to the growing season fail to capture this differential temporal response, such that key drivers of present and future carbon balance may go undetected.

Based on these limitations, CO₂ observations collected at multiple temporal (seasons and years) and spatial (horizontal and vertical) scales are needed for more accurate detection of regional carbon cycle changes in the ABZ. Here, we investigate seasonal carbon fluxes in Alaska using satellite and airborne observations from 2009 to 2013. We leverage remote sensing observations from the Greenhouse Gases Observing Satellite (GOSAT), airborne in situ observations from the Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE) and National Oceanic and Atmospheric Administration (NOAA) Arctic Coast Guard (ACG) flights, and weekly NOAA airborne vertical profile measurements at Poker Flat, AK (PFA) (see [dx.doi.org/10.3334/ORNLDAAAC/1325](https://doi.org/10.3334/ORNLDAAAC/1325)). Together, these measurements sample vertically resolved and column-averaged CO₂ dry air mole fractions (XCO₂) across the full annual cycle and contain sustained multiyear information spanning local, regional, and pan-Arctic spatial scales (Figs. S1, S2, and S3). We compare these data with atmospheric simulations driven by modeled terrestrial carbon fluxes for present and future climate to distinguish local biological processes from background variability and determine detectability of future carbon–climate feedbacks associated with permafrost thaw.

Materials and Methods

CARVE, ACG, PFA, and GOSAT datasets are described briefly here and in more detail in [S1: Observed CO₂](#). CARVE campaign flights were conducted for periods of 2 wk/mo from May to September of 2012 and from April to October of 2013, with 4–10 flights per campaign and 75 total tracks collected from 2012 to 2013 (Fig. S1). CARVE surveys focus on the lowest 500 m above the surface, with frequent vertical profiles. ACG flights collect high-resolution CO₂ in situ concentration data across more than 50 flights and an average of three vertical profiles per flight from 2009 to 2013 from early March to late November (Fig. S2). ACG typically measures vertical profiles over boreal and Arctic Alaska on the same day. PFA is based on year-round, fixed location, high-resolution airborne CO₂ flask concentration data collected at 12 different altitudes [500–8,000 m above sea level (asl)] over the PFA Research Range northeast of Fairbanks, AK every 2–4 wk since 2000. GOSAT XCO₂ retrievals have produced full coverage of the growing season (April to September) and partial coverage of spring and fall shoulder seasons at Alaska to pan-Arctic scales since 2009 (Fig. S3).

All aircraft datasets are filtered for biomass burning using onboard CO measurements and a high CO screen of 150 parts per billion (ppb) and then, separated into mixing layer (ML) and free troposphere (FT) bins to examine local vs. long-range effects on CO₂ seasonality. We consider several factors in choosing the altitude to separate these layers. Comparison of 1-km bins from 0 to 7 km shows high sensitivity of vertical gradients to year, season, and dataset (Fig. S4 A–C). The level at which the ML is decoupled from the FT also varies but typically resides at 2–4 km. In general, the vertical gradient exhibits very similar seasonal structure independent of choice of averaging bins (Fig. S4 D and E). For this analysis, we choose 3 km, because it represents the highest daily extent of the layer of surface influence and the pressure level (700 mb) above which air from midlatitudes has the strongest influence (12). We average all available data in the lowest 3 km asl (>700 mb) for the ML and from 3 to 7 km asl (700–500 mb) for the FT, noting that the approximate ML sampling altitude below 3 km varies across datasets. We use all available airborne data from 8:00 AM to 8:00 PM local standard time (LST), but most data were collected from midday to 4:00 PM. We note a slight sensitivity of the vertical distribution and gradient of the CO₂ seasonal cycle to diurnal sampling biases (Fig. S5), which are likely attributed to diurnal variability in CO₂ flux and ML depth. We remove the secular trend and calculate spring and fall zero crossing dates as discussed in [S1: Observed CO₂](#).

We simulate atmospheric CO₂ using the Goddard Earth Observing System Chemistry global tracer model (GEOS-Chem) (14) forced by assimilated meteorological fields and surface CO₂ flux from land, ocean, and fossil fuel sources. Monthly CO₂ output is sampled during midday (10–18 LST), averaged across all Alaskan land grid points (55° N to 72° N, 170° W to 140° W), and binned vertically into the ML and FT. We run CO₂ experiments based on

two configurations of the Community Land Model, version 4.5 (CLM4.5) (15–17). These experiments are described briefly below and in more detail in [S2: Land and Atmospheric Simulations](#).

The first experiments, denoted TRANSPORT, focus on the present day (2009–2013) to examine sensitivity of observed CO₂ seasonal cycles to Alaskan CO₂ flux. These simulations use year-specific CLM4.5 flux (18) and winds to best represent observed conditions and interannual variability. Three variants are considered: (i) CONTROL, a baseline run with all fluxes turned on; (ii) ALASKA-OFF, an Arctic influence run with Alaskan fluxes at zero for the domain (55° N to 72° N, 170° W to 140° W); and (iii) ARCTIC-OFF, the long-range transport run with Arctic fluxes set to zero for the domain (55° N to 90° N, 180° W to 180° E).

The second set of experiments focuses on the ability of current observing strategies to detect changes in CO₂ flux patterns resulting from projected climate-induced changes in the ABZ carbon cycle. These simulations use time-varying winds from 2000 to 2010 and six sets of decadal CLM4.5 fluxes for present day (HIST, 1990–2010) and future (15YR, 2005–2015; 30YR, 2020–2030; 50YR, 2040–2050; 100YR, 2090–2100; 200YR, 2190–2200) scenarios. Present day and future scenarios are further divided into simulations to test CO₂ sensitivity to flux changes in Alaska (ALASKA-ON), in the Arctic (ARCTIC-ON), and at global scale (GLOBAL-ON). CLM4.5 is configured as described in two recent permafrost studies (19, 20) and forced by time-varying meteorology corresponding to historical and future climates (Fig. S6 and [S2: Land and Atmospheric Simulations](#)), with modeled photosynthesis driven by constant preindustrial CO₂. We consider two scenarios for permafrost thaw. The first, denoted FUTURE-DEEP, assumes that deep permafrost carbon is active (19). In the second, denoted FUTURE-SHALLOW, deep soil decomposition is disabled by varying a parameter Z_0 in CLM4.5, which controls decomposition rates as a function of soil depth. These experiments decouple changing dynamics of surface soils from deep soils and isolate the potential contributions from permafrost layers (19).

Results and Discussion

Observing CO₂ Signals over Alaska. Alaskan airborne and satellite datasets show a similar range of seasonal and interannual variability throughout the ML, FT, and column but exhibit key differences in seasonal cycle amplitude and phase in the multiyear average and throughout the vertical column (Fig. 1 A–C, Fig. S7, and [S1: Observed CO₂](#)), including a wide range of dates for spring and fall zero crossing and amplitudes of spring enrichment and summer depletion. These differences are attributed to the range of

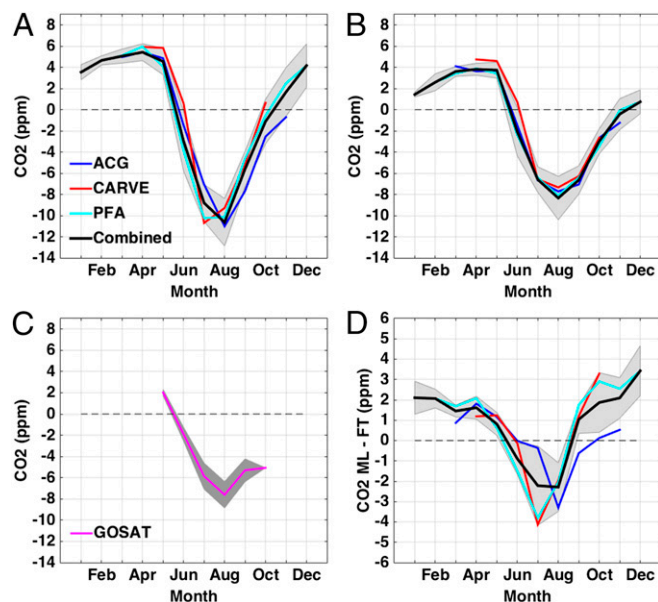
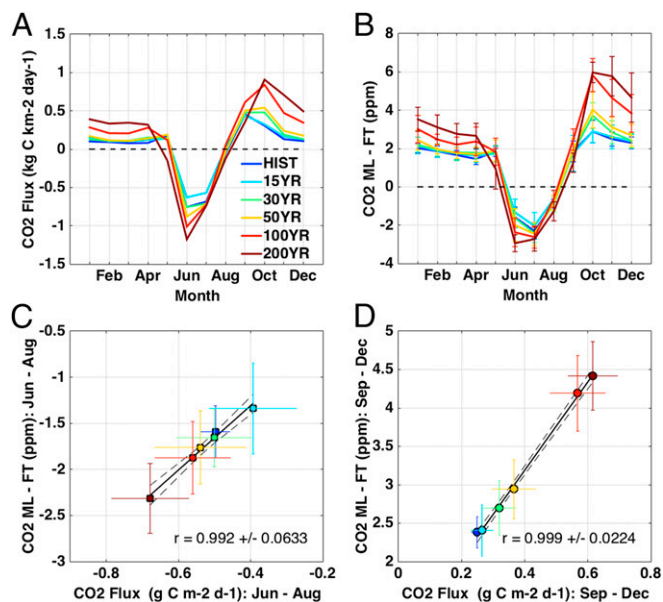


Fig. 1. Observed CO₂ seasonal cycles from satellite and airborne instruments. Shown are individual (color) and combined (black) observations averaged from 2009 to 2013 for (A) FT (3–7 km asl), (B) ML (0–3 km asl), (C) column average, and (D) vertical gradient (difference between ML and FT). Shading is the monthly SD and represents interannual variability over 5 y. Values are monthly averages, and grid lines represent middle of month.



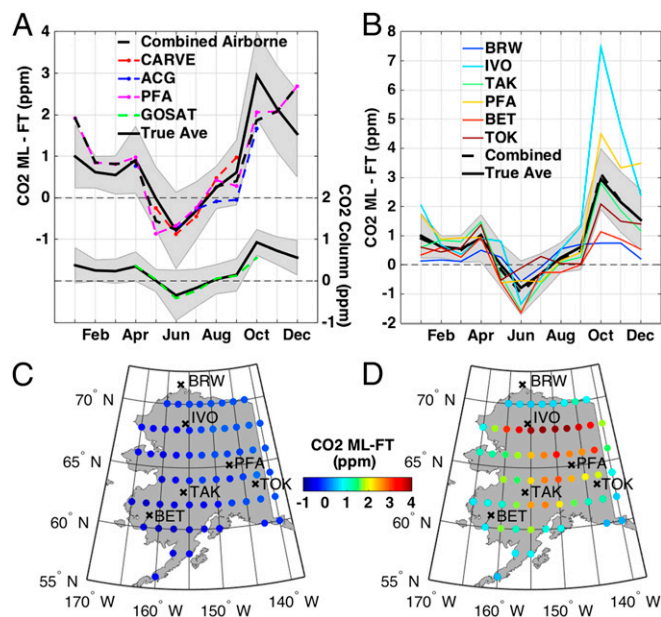
rising from 3.97 ± 0.36 ppm initially to 6.73 ± 0.61 ppm in 2200. Enhanced summer depletion (stronger negative gradient) is correlated with increased uptake from June to August (Fig. 3C), and enhanced fall enrichment (stronger positive gradient) is correlated with increased emissions from September to December (Fig. 3D). Summer signals show reduced depletion over the first 15 y as respiration outpaces photosynthesis and then, enhanced depletion as growing conditions improve in 15–200 y. Fall CO₂ signals increase slowly at first (0–50 y) as decomposition of deep soil carbon is delayed by low liquid moisture and oxygen availability and then, more rapidly (50–200 y) after initial active layer deepening is established.

A key result is that climate-induced CO₂ signals increase more rapidly (relative to HIST) and significantly (compared with interannual variability) in fall than summer. Comparing the months of strongest enrichment (October) with those of strongest depletion (June) shows a factor of three stronger change over 50 y (50YR – HIST; fall CO₂ increase of 1.14 ± 1.12 ppm vs. summer decrease of 0.41 ± 1.0 ppm), a factor of four stronger change over 100 y (100YR – HIST; 2.94 ± 1.04 ppm vs. 0.79 ± 0.92 ppm), and a factor of two stronger change over 200 y (200YR – HIST; 3.08 ± 0.79 ppm vs. 1.35 ± 0.70 ppm). Strong CO₂ interannual variability is likely to mask most of the summer signal over the next 100 y, whereas regional-scale changes in fall may be detectable in as early as 30 y (30YR – HIST = 0.83 ± 0.89 ppm in October). These results indicate that a hypothetical observing system, with perfect spatial and temporal sampling over Alaska, has high likelihood of detecting projected slow regional carbon balance impacts in fall in the next 30–50 y and low likelihood of detecting summer impacts over the next 200 y.

To test the ability of current observing systems to detect predicted carbon balance changes given known temporal and spatial sampling limitations, we sample simulated CO₂ concentrations in 100YR based on the timing and methods of CARVE, PFA, ACG, and GOSAT observations collected in 2012. We then compare the corresponding, subsampled “observed” mean with the “true” mean calculated from sampling all Alaskan land points (Fig. 4A). Current airborne and satellite sampling strategies capture general patterns of enhanced summer depletion and cold season enrichment in 100 y.

However, airborne strategies show a range of variability in the depth and timing of summer CO₂ depletion, leading to biases in estimates of regional mean drawdown from April to September, which are smallest for CARVE sampling [root mean square error (RMSE) = 0.23 ppm] and largest for PFA (RMSE = 0.38 ppm). Spring and fall transitions represent periods of largest spreads across sampling strategies. PFA, the only system to measure continuously through the entire cold season, shows increased bias in winter (RMSE = 0.76 ppm). All strategies, including GOSAT, capture but underestimate peak enrichment in October. In general, the combined airborne strategy reduces bias during overlapping sampling from April to October (RMSE = 0.24 ppm), with the largest improvements during the growing season (June to August) but with cold season sampling biases that underestimate fall respiration (September to October) and overestimate winter respiration (December to March).

Spatial gradients have an important influence on detection of regional CO₂ fluxes by the current observing network. Summer fluxes, with a relatively modest ~1 ppm southwest to northeast positive CO₂ gradient (Fig. 4C) driven by increasing emissions in northeast Alaska and increased uptake in southwest Alaska (Fig. S10A), are well-characterized by the spatially diverse set of airborne and satellite strategies. Conversely, winter shows spatially homogenous increases in emissions across western and northern Alaska (Fig. S10B) but locally strong CO₂ vertical gradients north of the Brooks Range along the North Slope (4 ppm relative to annual mean) (Fig. 4D). These gradients are likely enhanced by shallow winter MLs, and CLM4.5 shows potential for additional enhancement by increased fall respiration associated with CO₂ fertilization (Fig. S6B). These results suggest that future cold season emissions in the interior North Slope may go unobserved by the 2012 observing network, despite measurements by ACG along the north coast (Fig. S2D) and PFA in central Alaska because of low sensitivity of current observations to this region.



Fall enrichment is similar until 100YR, after which signals caused by deep permafrost loss increase significantly compared with shallow loss, including 2.0- to 2.5-ppm difference from October to December.

These results suggest that the current airborne strategies are unlikely to disentangle signals from shallow and deep soil emissions in summer but could potentially detect deep permafrost carbon emissions in fall with improved temporal and spatial sampling. However, simultaneous respiration of shallow surface carbon and amplification by CO₂ fertilization and fire emissions is likely to mask deep permafrost emissions from a CO₂ observing system. Radiocarbon data, which can be used to partition respiration into autotrophic and heterotrophic young and old soil components (27), may provide a viable solution to disentangle and track future emissions from deep permafrost.

Conclusions

The seasonal amplitude of CO₂ has been increasing in northern high latitudes over the past five decades (7). The ability to quantify ABZ contributions has been limited by the lack of long-term atmospheric CO₂ observations with sufficient temporal, vertical, or spatial resolution to constrain annual carbon budgets at the regional scale. Current airborne and satellite strategies are beginning to address some of these shortcomings with close monitoring of changing sink activity in the growing season. However, emerging carbon-climate feedbacks driven by warming, CO₂ fertilization, and fires are likely to reshape our understanding of the Arctic carbon cycle, such that earlier and stronger sinks are offset by enhanced sources. CO₂ and CH₄ emissions

related to permafrost thaw and increasing biotic activity are unlikely to manifest themselves until later in the cold season (20, 28), shifting the ABZ to an irreversible carbon source that would go undetected by current sampling strategies and measurement systems until long after the onset of permafrost thaw.

The evolving ABZ biosphere and threat of unobserved cold season emissions call for a more comprehensive observing system focused on (i) year-round, (ii) vertically resolved, and (iii) spatially distributed sampling. Our analysis indicates that these three key objectives can be met by a network of airborne vertical profiles distributed across Alaska; however, the combination of tall tower continuous measurements, intensive airborne campaigns, and satellite remote sensing can significantly augment this network by providing temporal and spatial context. Based on existing technology and operating costs, we expect that a network of airborne profiles complemented by tall towers and satellite remote sensing will ensure that emerging carbon sources and sinks do not go undetected.

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